

Horizon 2020 – The EU Framework Programme for Research and Innovation

Nanoseminar, 12.09.2013
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DRESDEN
concept
Exzellenz aus
Wissenschaft
und Kultur

Structure

1. General Information
2. Current status of Horizon 2020
3. Structure of the new Framework Programme
4. Nanotechnology Roadmap
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1. General Information (I)

-All information represent the current status of
Horzion 2020 → changes are likely

- Horizon 2020 will unite the former separate
programmes:

- 7th Framework Programme
- Programme for the Competitiveness of enterprises and SMEs (CIP)
- European Institute of Innovation and Technology (EIT)

1. General Information (II)

Duration: 2014 to 2020

Budget: 70 billion EUR → world's largest research programme

Focus:

- *innovation* – developing **Key Enabling Technologies (KETs)**
- *market-driven approach* - bridge the gap between **research** and **market** + **commercialization** of innovative ideas

Official Homepage:

http://ec.europa.eu/research/horizon2020/index_en.cfm

2. Current status of Horizon 2020 (I)

- legislative procedure is not finalized yet

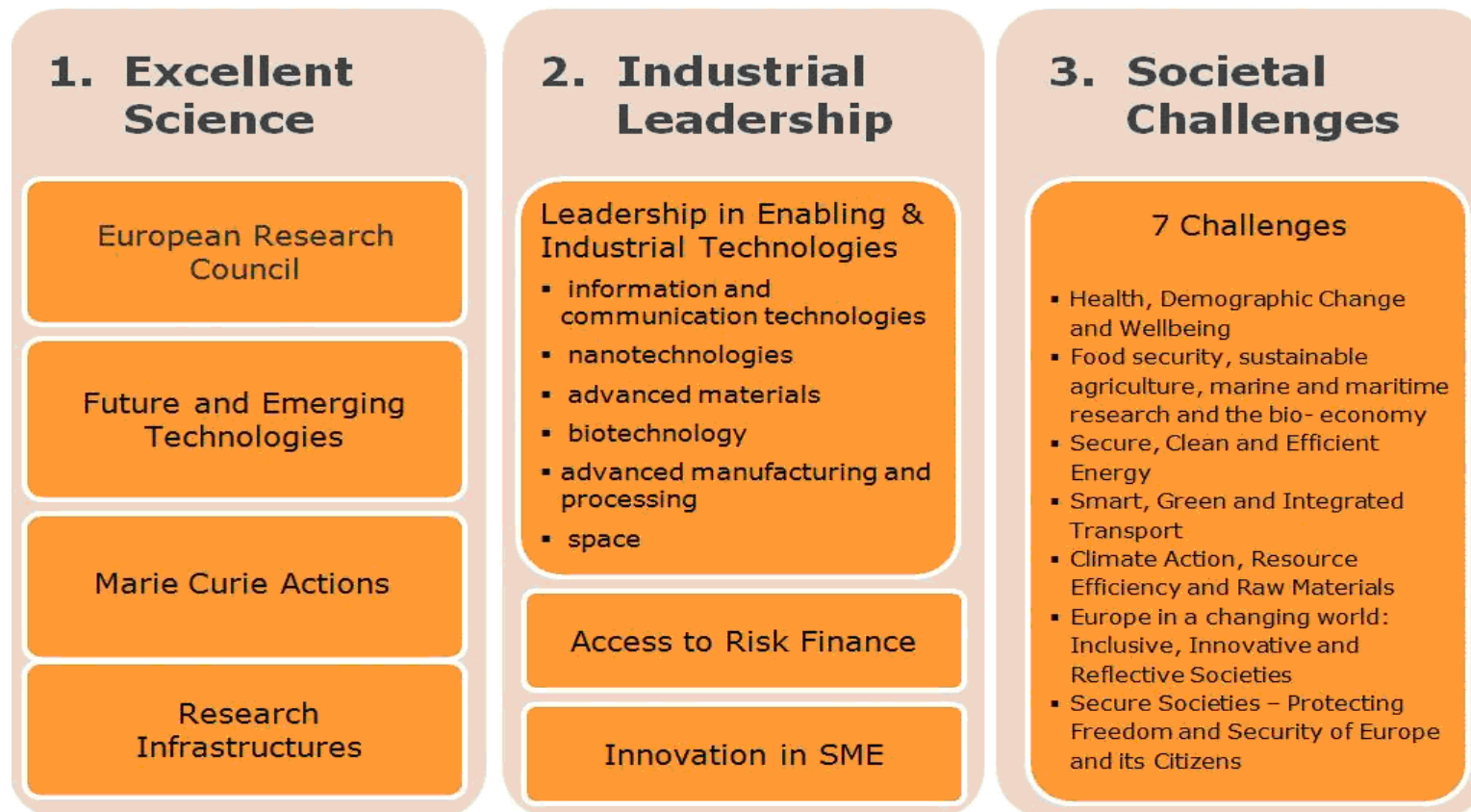
Timeline:

- *November 2011*: Parliament and Council started negotiations on the basis of the Commission proposals
- *June 2013*: **informal** trilogue agreement between European Parliament, Council and Commission on Horizon 2020:
 - ❖ *structure*
 - ❖ *content and*
 - ❖ *budget distribution*

2. Current status of Horizon 2020 (II)

- *End of 2013:* Adoption of legislative acts by Parliament and Council for Horizon 2020
- *End of 2013/beginning of 2014:* launch of first calls for proposals
- *1st January 2014:* Horizon 2020 starts

3. Structure of the new Framework Programme (I)



Source: <http://www.kowi.de/PortalData/2/Resources//einzelemente/graphs/proposal-horizon2020.gif> (modified)

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3. Structure of the new Framework Programme – ERC (II)

- no changes of FP7 rules expected (the following table is based on FP7)

	Starting Grants (since 2007)	Consolidator Grants (since 2012)	Advanced Grants (since 2008)	<i>MIGHT NOT BE CONTINUED!!! Synergy Grants (since 2011)</i>	Proof of Concept (since 2010)
Target group	1 scientist 2-7 years after Phd	1 scientist 7-12 years after PhD	1 established scientist	<i>2-4 (established scientists)</i>	Exclusively for ERC-Grantees
Project duration	max. 5 years	max. 5 years	max. 5 years	<i>max. 6 years</i>	max. 1 year
Project budget	1,5 Mio. € (2 Mio. €)	2 Mio. € (2,75 Mio. €)	2,5 Mio. € (3,5 Mio. €)	<i>max. 15 Mio. €</i>	max. 150.000 €

3. Structure of the new Framework Programme – Marie Curie Actions (III)

	Action 1	Action 2	Action 3	Action 4
Target group	Early-stage Researchers	Experienced researchers	-	-
Content	Training of researchers proposed by international networks of organisations from public and private sectors	Individual fellowships for most promising experienced researchers to develop their skills through international or inter-sector mobility	Exchange of Staff: International and inter-sector cooperation	Co-funding of regional, national and international researcher mobility programmes

3. Structure of the new Framework Programme – Future and Emerging Technology (IV)

	FET Open (Xtrack)	FET Proactive	FET Flagships
Content	<p>Individual research projects</p> <p>Bottom-up approach</p> <p>Front Edge Ideas and projects</p>	<p>Development strategic partnerships on international cooperation</p> <p>Top down approach</p> <p>Non-conventional approaches and foundational research in selected themes</p>	<p>Development of common research agendas</p> <p>Two 10-year research projects in Graphene and the Human Brain</p> <p>Carry out large scale research in these advanced areas of science</p>

3. Structure of the new Framework Programme – additional measures (V)

- **SME instrument:**

- bottom up
- 20% of total budget for SMEs

- **Fast Track to Innovation**

- speed up time from idea to market (six months to award grant)
- bottom up
- implemented in pillar 2 + 3
- 3 – 5 partners and 3 Mio EUR budget per project

4. Nanotechnology Roadmap (I)

Status quo:

- gap between research efforts and industrial and user needs

Vision:

- aims to play a market leader position, increasing its competitiveness in all different sectors where nanotechnology may have a strong added value
- growth and commercialization of nanotechnology must be guided and fostered by taking care of social and sustainability aspects

Source: <http://www.euronanoforum2013.eu/wp-content/uploads/2013/07/P-Matteazzi-Nanofutures-Workshop.pdf>

4. Nanotechnology Roadmap (II)

Vision:

- European Nanotechnology is expected to give an outstanding contribution to major grand challenges of our time

- New energy economy
- Ageing population
- Sustainable food and environment
- Intelligent, safe & connected world

Approach:

- market driven approach

Source: <http://www.euronanoforum2013.eu/wp-content/uploads/2013/07/P-Matteazzi-Nanofutures-Workshop.pdf>

5. Rules for Participation (I)

Funding rates:

- Research activities:
 - ❖ *100% direct costs and*
 - ❖ *25% indirect costs*

- Innovation activities:
 - ❖ *70% direct costs (foreseen 100% for non-profit organizations)*
 - ❖ *25% indirect costs*

VAT:

- ❖ *foreseen to be eligible*

5. Rules for participation (II)

Time to Grant:

- Reduced to 8 months (5 months evaluation and 3 months negotiation)

Single IT platform

Simplified grant agreement

Proposals:

- 2 stage applications are in discussion

5. Rules for participation (III)

Applicants:

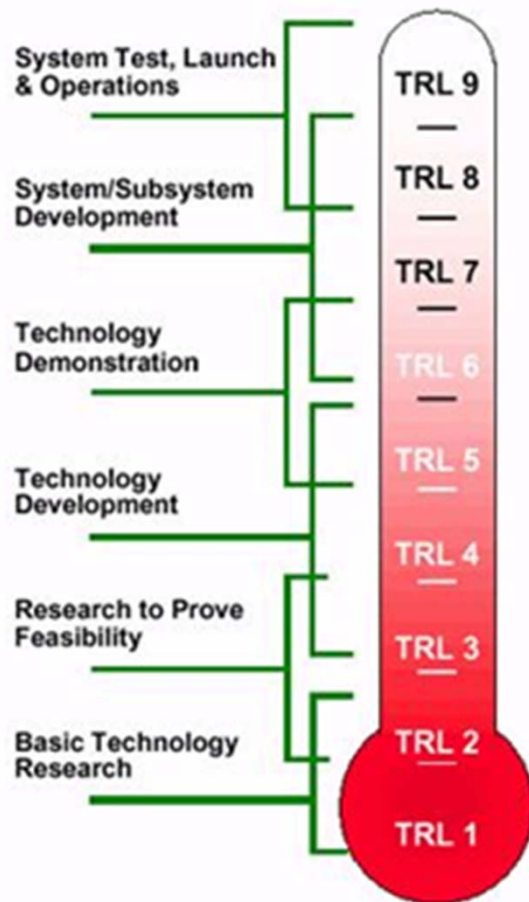
- no significant changes to FP7 expected:
 - ❖ min. of 3 partners – independent from each other
 - ❖ from 3 different EU Member States or Associated States
- additional requirements can be defined in the workprogrammes
- participation of third countries and international organisations is possible

5. Rules for participation (IV)

Evaluation Criteria:

- Excellence (only evaluation criteria for ERC proposals)
- Impact
- Quality and Efficiency of implementation

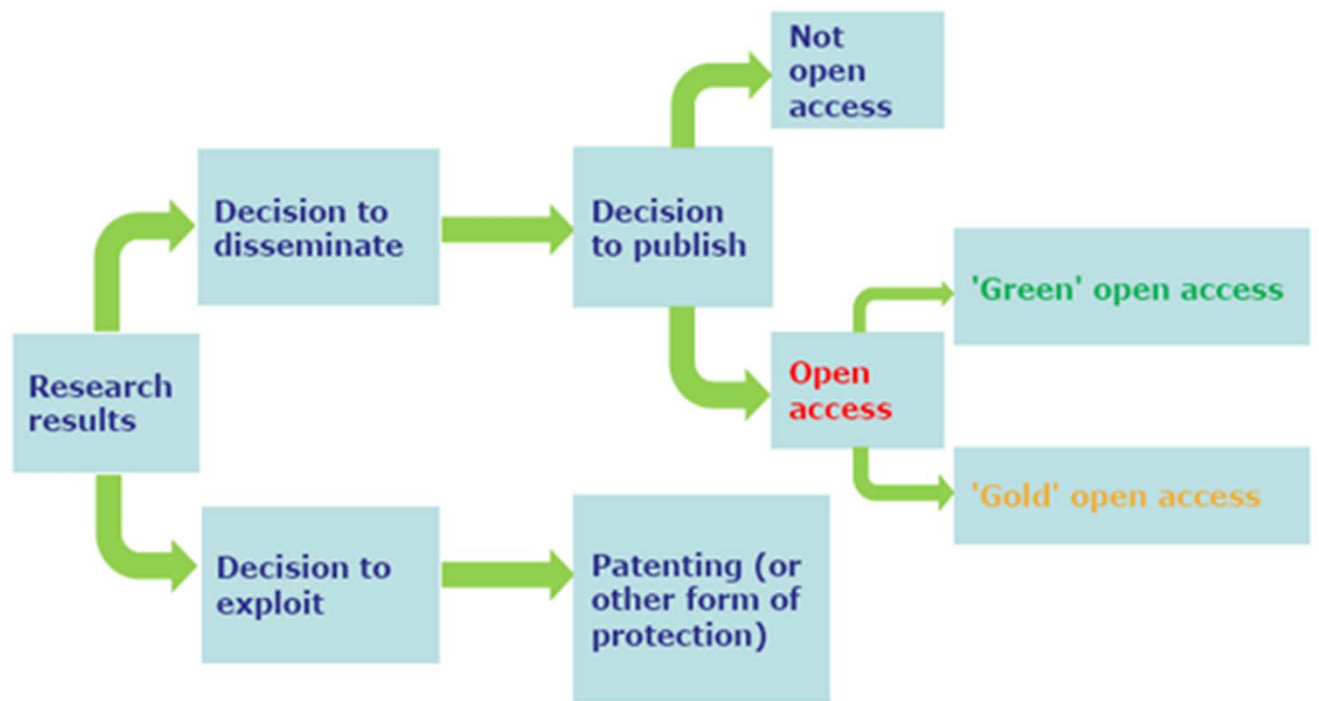
6. Technology Readiness Level (TRL)



- the workprogrammes include information what TRL should be achieved at the end of the project
- partly information on what TRL the project should start

7. Open Access

- Open access will be mandatory for publications resulting from EU-funded research



Source: Quelle: <http://www.kowi.de/Portaldata/2/Resources/vortraege/2013-wien-oa/2013-06-04-Spichtinger-DGRTD.pdf>
(Presentation by Daniel Spichtinger – Open Access Policy Officer)

Save the Date

**Information Event:
Horizon2020 with the focus on ICT**

- Organized by European Project Center

DATE: 13.11.2013

TIME: before noon

LOCATION: Technische Universitaet Dresden

- More details will soon be published on EPC Website:

www.epc-dresden.de

Thank you for your attention!

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